

FORM PTO-1390 (REV 10-2003)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		DATE: November 15, 2004	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 USC § 371				ATTORNEY DOCKET NUMBER 57732US005	
				U.S. APPLICATION NO. (if known) see 37 CFR § 1.518 10/514418	
INTERNATIONAL APPLICATION NO. PCT/US03/12310		INTERNATIONAL FILING DATE 22/04/2003		PRIORITY DATE CLAIMED 23/05/2002	
TITLE OF INVENTION SURFACE LIGHT-EMITTING DEVICE					
APPLICANT(S) FOR DO/EO/US HASHIMOTO, Kazuyuki					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 USC § 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 USC § 371. 3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 USC § 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input checked="" type="checkbox"/> The US has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 USC § 371(c)(2)). a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input checked="" type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US) 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 USC § 371(c)(2)). a. <input type="checkbox"/> is attached hereto b. <input type="checkbox"/> has been previously submitted under 35 USC § 154(d)(4) 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 USC § 371(c)(3)) a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 USC § 371(c)(3)). 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 USC § 371 (c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 USC § 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR §§ 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input type="checkbox"/> A preliminary amendment. 14. <input type="checkbox"/> An Application Data Sheet under 37 CFR § 1.76. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A power of attorney and/or change of address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR §§ 1.821 – 1.825. 18. <input type="checkbox"/> A second copy of the published international application under 35 USC § 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 USC § 154(d)(4). 20. <input type="checkbox"/> Other items or information:					

Filing of Papers and Fees by Express Mailing

Pursuant to 37 CFR § 1.10, this application and the documents and fees listed on this transmittal letter are being deposited on the date indicated below with the United States Postal Service "Express Mail Post Office to Addressee" service addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Date of Deposit

November 15, 2004

Express Mail Label No.

EV454472300US

☒ Customer Number for
Correspondence Address:

32692

U.S. APPLN. NO. (if known, see 37 CFR § 1.53) <div style="font-size: 2em; font-weight: bold; text-align: center;">10/514 418</div>	INTERNATIONAL APPLN. NO.: PCT/US03/12310	ATTORNEY'S DOCKET NO.: 57732US005																																																																																																	
21. <input checked="" type="checkbox"/> The following fees are submitted: : Basic National Fee (37 CFR § 1.492(a)(1)-(5)): Neither international preliminary examination fee (37 CFR § 1.482) nor international search fee (37 CFR § 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1110.00 International preliminary examination fee (37 CFR § 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$950.00 International preliminary examination fee (37 CFR § 1.482) not paid to USPTO but international search fee (37 CFR § 1.445(a)(2)) paid to USPTO \$790.00 International preliminary examination fee (37 CFR § 1.482) paid to USPTO but all claims did not satisfied provisions of PCT Article 33(1)-(4) \$750.00 International preliminary examination fee (37 CFR § 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4)) \$100.00		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 50%;">Calculations</th> <th style="width: 50%;">PTO Use Only</th> </tr> <tr> <td style="text-align: center; vertical-align: middle;">950.00</td> <td></td> </tr> </table>	Calculations	PTO Use Only	950.00																																																																																														
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Name: STEPHEN W. BUCKINGHAM				Registration Number: 30,035																																																																																															
Address: Office of Intellectual Property Counsel 3M Innovative Properties Company P.O. Box 33427 St. Paul, Minnesota 55133-3427																																																																																																			